



London, 4th  
November 2011

# Terms & Conditions

Closing date

End of business

Friday 16th September, 2011



## Terms & Conditions

The 5th Annual Buy-Side Technology Awards will be presented at an exclusive ceremony held on Friday 4th November, 2011.

Hosted by Buy-Side Technology, the BSTAs recognize products and services in the buy-side technology vendor industry and are open to any technology company operating in this space globally. The aim of the awards is to recognize the leading technologies and vendors in their areas of expertise through an auditable and transparent methodology underpinned by the input and experience of five buy-side-focused technology consultants.

Award nominations are open to all buy-side technology/services vendors globally and will be viewed as the unrivalled badge of excellence for this market. They showcase top-class performance and innovation, they reward achievement, and raise standards. They are the awards to win for the buy side.

Winning a Buy-Side Technology award will set you apart from your competitors and will become a demonstration to your customers that you have something special to offer them.

Our Buy-Side Technology Awards are widely recognized as a sign of genuine achievement. If you have a product or service to be proud of, then you should be entering the 2011 Buy-Side Technology Awards.

## Categories and Entry Criteria:

- **Best buy-side OMS**  
Platforms should feature pre-trade (deal time) and post-trade compliance; support for a range of asset classes including derivatives; a trading blotter; FIX-enabled connectivity; and order generation and routing functions. (Abbreviation: OMS).
- **Best buy-side EMS**  
Platforms should feature multi-broker/exchange connectivity; pre-trade transaction cost analysis (TCA); algorithmic trading tools and DMA; and should show strong integration capabilities with OMSs. (Abbreviation: EMS).
- **Best buy-side risk/portfolio analytics product**  
Functions should include risk-based portfolio modeling; 'what if' analysis; stress testing; Monte Carlo simulation; and (ideally) the ability to provide VaR and NAV figures. (Abbreviation: Risk).
- **Best buy-side performance measurement and attribution product**  
Functions should include calculation of returns for portfolio (benchmarks and composites); calculation of attribution effects for equity and fixed-income portfolios; and calculation of ex-post risk, absolute and relative. (Abbreviation: Performance).
- **Best buy-side algorithmic/DMA product or service**  
Functions should include a variety of pre-packaged trading algorithms; ability to test strategies; ability to analyze and interpret market events; ease-of-use and easy to understand GUI (this category covers discrete algorithmic trading products and not EMSs) (Abbreviation: Algo).
- **Best buy-side commodities trading platform/service**  
The panel is looking for a trading platform (or pricing service) developed specifically to support buy-side organizations trading energy, metals and agricultural instruments. (Abbreviation: Commodities).
- **Best buy-side pricing/valuation service**  
Services will be judged on broadness of product coverage; contributing pricing partners; methodology; and specificity to the buy side. (Abbreviation: Pricing).
- **Best buy-side data management product**  
Functions should include repository management (design, storage, archiving, retrieval, distribution of data); content management (importing and cleansing routines); business intelligence tools designed to allow authorized business users to interrogate data repository; creation of reports and manufacture of data sets. (Abbreviation: Data management).
- **Best data provider to the buy side**  
The panel is looking for the ability to integrate with other systems; also, quality data provision and other functional services. (Abbreviation: Data provider).
- **Best integrated front-office platform**  
Functions should include the bulk management of multi-asset portfolios to the same benchmark; OMS and EMS functions; modeling; analytics; compliance; multi-asset class coverage. (Abbreviation: Front office).
- **Best portfolio accounting platform**  
Functions should include the ability to support multiple currencies, transactions, and instruments (asset classes); back-office connectivity; flexibility and scalability. (Abbreviation: Accounting).
- **Best buy-side client reporting platform**  
Functions should include the ability to customize report formats and the look and feel of reports for different clients and/or portfolios; automated production of reports; and ability to manage source data and to monitor production status. (Abbreviation: Reporting).
- **Best broker-supplied tool/technology**  
This category is open to any platform/tool provided by a broker or prime broker to its buy-side clients. (Abbreviation: Broker).
- **Best buy-side compliance product**  
Functions should include pre-and post-trade compliance capabilities, tools to monitor compliance both with internal policies and external mandates and regulations, and the ability to integrate with other front- and middle-office applications. Both stand-alone compliance platforms and modules available as part of larger applications, will be considered. (Abbreviation: Compliance).
- **Best buy-side execution venue (crossing network or dark pool)**  
This category's award will go to the dark pool/crossing network provider best able to provide investment managers access to non-listed liquidity with minimal information leakage. (Abbreviation: Execution).
- **Best fund administrator**  
This category seeks to acknowledge the industry's best fund administrator (for all types of funds including mutual funds, hedge funds and pension funds) and will be decided on the range of its services and the robustness of its technology, especially relating to the calculation of NAVs and various pricing/valuation functions (Abbreviation: Administrator).
- **Best buy-side newcomer (vendor or product)**  
This category is open to any technology vendor established since June 2010 or any product since June 2010 with at least one live client. Upgrades are not considered to be new products. (Abbreviation: Newcomer).
- **Best product implementation at a buy-side firm**  
This category seeks to highlight a specific product implementation that has gone live between June 2010 and June 2011. The winning implementation will need to have been completed on time and on budget; the winning entry will need to explain (in detail) why the implementation is deserving of this award. (Abbreviation: Implementation).
- **Best buy-side risk management initiative over the last 12 months**  
This category seeks to acknowledge enhancements to an existing risk management platform or the launch of a new risk management tool (unveiled since June 2010), designed to address current risk management challenges across the buy-side. (Abbreviation: Risk management).



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### How to enter

- **Best buy-side CRM (customer relationship management) product/service**

The winning entry in this category should include functionality designed specifically to allow buy-side firms to efficiently manage client relationships that are growing both in terms of numbers and complexity (Abbreviation: CRM).

- **Best CEP (complex-event processing) product/service**

Most CEP vendors in this space provide similar functionality; therefore, what the panel is looking for, are examples of where the technology has enabled buy-side firms to manage either their high-frequency trading activities or their risk management functions in a close-to-real-time environment (Abbreviation: CEP).

- **Best buy-side reconciliation platform/service**

The winning product in this category would need to address the following: exception management, it should provide interfaces to widely-used matching engines, and it should support the automatic reconciliation of a large number of instruments, especially OTC derivatives. (Abbreviation: Reconciliation).

- **Best overall buy-side product for 2010**

The winner will be announced on November 4, 2011.

- **Best technology provider for 2010**

The winner will be announced on November 4, 2011.

The Buy-Side Technology Awards 2010 are open to all technology firms, brokers, and buy-side institutions regardless of their size, location, or ownership. Organisations may enter as many categories as they wish, as long as they genuinely believe they meet the criteria listed alongside the categories.

#### Latest updates

For all the latest information about the Buy-Side Technology Awards 2011, visit [waterstechnology.com/bstawards](http://waterstechnology.com/bstawards)

#### General entry information

- Entry to the 5th annual Buy-Side Technology Awards is online only
- Please email all entries to [victor.anderson@incisivemedia.com](mailto:victor.anderson@incisivemedia.com)
- Each entry must be separate – If you are entering three different products in three categories, you will need to submit three separate emails
- Entries must consist of a Word document containing the information laid out in the entry template (see Award Categories)
- The word count for each entry is restricted to 500 words. Extra copy will be ignored. Also, please do not attach pdfs or other files to your entry.
- All company/product details and names of reference clients are strictly confidential
- Please note that the quality of your entry (reference clients, general information, lay-out etc.) has a bearing on the outcome of each category. Over the past four years it has become clear that certain entrants have gone to great lengths to provide the judging panel with as much transparency as possible regarding their products and the company in general. These types of entries stand a greater chance of success than those consisting of a “cut-and-paste-job” from the company website.

#### What your entry should look like

- The subject line for every email sent to [victor.anderson@incisivemedia.com](mailto:victor.anderson@incisivemedia.com) should contain the following: BST Awards 2011, the abbreviated category (see award categories), and the product name. An example appears below:

*Example: Subject: BST awards 2011, OMS, SwiftRoute*

#### Along with each 500-word entry must be the following:

- An up-to-date summary of the firm's total installation numbers (live sites)
- An up-to-date summary of the firm's new clients since June 2010 (live sites, not contracts)
- The names and contact details (direct line numbers and email addresses) of three reference clients (please note, these names and contact details are confidential)

Entrants are advised to include only the technical specifications and benefits/advantages of their products. Marketing material is a waste of words.

#### Eligibility

The Buy-Side Technology Awards 2011 are open to all technology firms, brokers, and buy-side institutions regardless of their size, location, or ownership. Organisations may enter as many categories as they wish, as long as they genuinely believe they meet the criteria listed alongside the categories. The judging panel will consider all entries to each category. Where appropriate (due to large numbers), a shortlist of three entries per category will be drawn up by the panel. Category winners will be contacted directly by Victor Anderson on or before Friday 14th October 2011; winners should be available to receive their awards at the Buy-Side Technology Awards 2011 function on Friday 4th November 2011. Entrants should carefully read and consider the criteria of the category they are entering. The listed criteria are functions/qualities that the panel is looking for – entrants should be aware however that the criteria serve only as a guide to entrants and not a definitive description of the winning product. Entrants may enter as many categories as they wish, as long as they meet the qualifying criteria.

#### Awards checklist

- Have you submitted a separate email entry for each product entered?
- Have you included the three criteria in the subject line: BST Awards 2011, the abbreviated category you are entering, and the name of your product?
- Have you kept to the word count (500 words)?
- Have you included your three reference clients together with direct dial telephone numbers and email addresses?
- Have you included your total client list for the product you are entering and the number of new clients since June 2010?
- Have you included the contact details of the appropriate person in the event that your company wins a category?

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